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### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER

012930-000026 see 37 CFR 1.5) U.S. APPLICATE **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 7 July 2004 7 July 2003 PCT/AU2004/000913 TITLE OF INVENTION PHOTOSYNTHETIC HYDROGEN PRODUCTION APPLICANT(S) FOR DO/EO/US Olaf Kruse Ben Hankamer Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. 🛛 This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3.  $\square$ (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. 🛛 a. 
 ☐ is attached hereto (required only if not communicated by the International Bureau). b. 

 \int has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office(RO/US) An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. 🔯 a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. a. \_\_\_ are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 🔲 9. 🔲 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or Information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🛛 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. 🔯 A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. 🔲 A substitute specification. 16. 🔲 A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. 🔲 18. 🔲 A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 🔲

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Page 1 of 3 Box 1450, Alexandria, VA 22313-1450.

# IAP15 Rec'd PCT/PTO 28 DEC 2005

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. 012930-000026 PCT/AU2004/000913 Other items or information: International Search Report and 4 references cited in Search Report; PCT Request form; PCT Written 20. Opinion; International Publication No. WO2005/003024 A1 including 16 sheets of drawings. **CALCULATIONS** PTO USE ONLY The following fees have been submitted Basic national fee (37 CFR 1.492(a))......\$300 21. \$ 300.00 22. Examination fee (37 CFR 1.492(c)) \$ 200.00 If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ......\$0 All other situations... Search fee (37 CFR 1.492(b)) \$ 100.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations. \$ 600.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.82(c) or (e) or computer program listing in an electronic medium) The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE Extra sheets thereof (round up to a whole number) **Total Sheets** - 100 = /50 =X \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after \$ the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER EXTRA RATE \$ NUMBER FILED **CLAIMS** x \$50 \$ 200.00 **Total Claims** 24 - 20 = 4 x \$200 \$ 0.00 0 1 - 3 = Independent Claims + \$360 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$ 800.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 400.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$400.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by \$ an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** \$400.00 Amount to be \$ refunded: Amount to be \$ charged:

10/562512

PTO-1390 (Rev. 07-2005)

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C.		The Commissioner is hereby authorized to charge any additional fees which overpayment to Deposit Account No. <u>13-4365</u> . A duplicate copy of this she	n may be required, or credit any et is enclosed.	
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
SE	SEND ALL CORRESPONDENCE TO:			
43 Si M	30 Da uite 5 orris	& Van Allen, PLLC vis Drive 00 ville, North Carolina 27560	Jennifer L. Skord  NAME	
USA			REGISTRATION NUMBER	

## 10/562512 IAP15 Rec'd PCT/PTO 28 DEC 2005

Attorney Docket: 012930-000026

#### **EXPRESS MAIL CERTIFICATE**

EXPRESS MAIL LABEL NUMBER: EV699878447US

Date of Deposit: December 28, 2005 First Named Inventor: Ben Hankamer

For: PHOTOSYNTHETIC HYDROGEN PRODUCTION

I hereby certify that the following documents:

### Express Mail Certificate; and

- 1. Transmittal Letter to the U.S. Designated/Elected Office (PTO-1390);
- 2. Preliminary Amendment Under 37 CFR §1.115;
- 3. Copy of unexecuted Declaration;
- 4. Copy of Published International Application No. WO 2005/003024 A1 including 16 sheets of drawings;
- 5. Information Disclosure Statement;
- 6. International Search Report and 4 references cited in Search Report;
- 7. PCT Request Form;
- 8. PCT Written Opinion;
- 9. Check in the amount of \$400.00; and
- 10. Acknowledgement Postcard

are being deposited in a single envelope with the United States Postal Services "Express Mail" service under 37 C.F.R. 1.10 on the date indicated above and such envelope is addressed to: Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

(Name of Person Mailing Documents)

(Signature of Person Mailing Documents)